






# **A New Regulatory Approach to Prevention of Occupational Cancers: Boosting Exposure Minimization**

**EWHN Seminar on Prevention of Occupational Cancers  
Turin, 30 Sept. 2011**

**Henning Wriedt  
Beratungs- und Informationsstelle Arbeit & Gesundheit  
Hamburg, Germany  
[wriedt@arbeitundgesundheit.de](mailto:wriedt@arbeitundgesundheit.de)**

## Overview

-  **Rationale and objectives**
-  **Structure and basic elements**
-  **Interplay between risk limits and set of control measures**
-  **From concept to application**
-  **Developments (and expectations)**

## Rationale and objectives

### Starting point

- replacement (substitution) of a carcinogen preferable  
(Dir. 2004/37/EC, Art. 4 (1))

### Minimization of exposure

- where the replacement of a carcinogen is not technically possible, and where it cannot be manufactured and used in a closed system, the employer shall ensure that the level of exposure is reduced to as low a level as is technically possible  
(Dir. 2004/37/EC, Art. 5 (1) – (3))

### This is not a new obligation –

#### so why introduce a new concept?

- minimization of carcinogens with the former TRK concept did not work in practice:
  - overall cap – yes
  - further reduction below the TRK value – no
- minimization progress at workplaces difficult to verify
- minimization “to zero” impossible in reality



## Rationale and objectives

### Objectives

- **verifiable implementation of minimization requirement**  
(if substitution is not or not yet possible)
- **assistance in carrying out minimization**
- **priority for minimization of high risks**

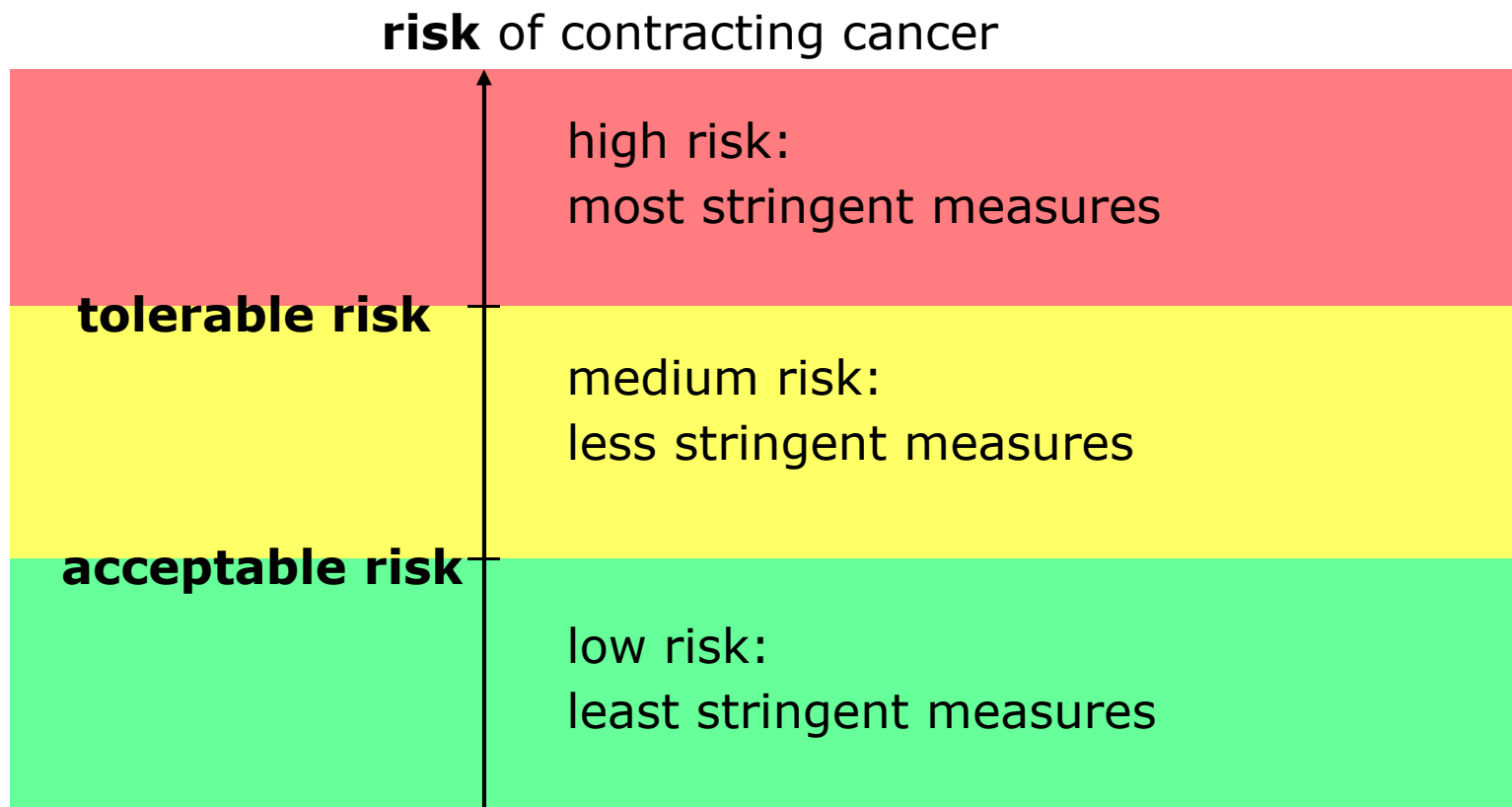
## Structure and basic elements

- **introduction of **three bands****  
**for both risks and control measures**  
(in comparison to two bands in the former TRK concept)
- **substance-independent tiered control scheme**  
**to minimize exposure:**  
**grading of the individual measures according to the**  
**three risk bands**
- **quantified risks**  
**two substance-independent risk limits:**  
**“acceptable risk” and “tolerable risk”**
- for each carcinogen **derivation of two substance-specific**  
**concentration values** based on those two risk limits:  
**“acceptable concentration” and**  
**“tolerable concentration”**



# Structure and basic elements

**three bands** (risks / control measures) – schematic view



# Interplay between risk limits and set of control measures

## Grading of control measures – three examples

### Action plan

- mandatory for high and medium risks
- description of planned concrete measures for further exposure reduction:  
when; how; amount of expected reduction
- modelled after Dutch example

### Minimization of exposure

- mandatory for high and medium risks
- optional for low risks (to be agreed at company level)

### Use of respiratory protective equipment

- mandatory for high risks
- optional for medium risks: employer must always provide RPE,  
worker may decide whether to use it
- not required for low risks



# Interplay between risk limits and set of control measures

## Control measures – brief overview (1)

### Obligations if exposure above “**tolerable concentration**”

- ▶ lowering of actual exposure below “tolerable concentration” within three years
- ▶ deriving an action plan
- ▶ informing of enforcement agency;  
yet no permission needed within those three years

#### plus

- ▶ list of additional control measures (not specified here)

### Obligations if exposure below “**acceptable concentration**”

- ▶ (basic) occupational hygiene
- ▶ list of additional control measures (see next slide)
- ▶ further minimization of exposure not obligatory but desirable, to be achieved through agreements at company level



## Control measures – brief overview (2)

<b>Compilation of obligations for actual exposure below “acceptable concentration” / low risk</b>	
<b>(Basic) occupational hygiene</b>	<b>Yes</b>
<b>Minimization of number of exposed</b>	<b>Avoidance of unnecessary exposure of bystanders</b>
<b>Transparency of risk</b>	<b>Yes</b>
<b>Communication of risk</b>	<b>Yes</b>
<b>Written and oral instructions, training</b>	<b>Yes</b>
<b>Spatial separation</b>	<b>If possible, within reason</b>
<b>Minimization of amount of substance used</b>	<b>Yes</b>
<b>Technical control measures</b>	<b>No additional control measures demanded, but no reduction of control measures already implemented</b>
<b>Minimization of exposure</b>	<b>To be agreed at company level</b>
<b>Medical and toxicological advice</b>	<b>Yes</b>
<b>Entitlement to medical surveillance</b>	<b>Yes</b>
<b>(Repetition of) feasibility check on substitution</b>	<b>Reduced obligations on documentation</b>
<b>Substitution (substance and process), product type with lower exposure potential</b>	<b>If possible, within reason</b>

# Interplay between risk limits and set of control measures

## Numerical values of risk limits

■ Agreement on set of graded control measures was precondition for consensus on numerical values of risk limits

### ■ What sort of risk?

- additional **risk of developing cancer** as a result of exposure at the workplace (extra cases of cancer; not mortality)
- assumption for calculation of risk: continuous exposure during whole working life: 8 hours/day, 240 days/year, 40 years

### ■ Resulting numerical risk values

- **upper risk-based limit** ("tolerable risk")
  - ▶ **4 : 1,000**
- **lower risk-based limit** ("acceptable risk")
  - ▶ **4 : 100,000** (starting 2018 at the latest)
  - ▶ **4 : 10,000** (for a transitional period of at least until 2013, at most until 2018)



# Interplay between risk limits and set of control measures

## Function of risk limits

■ Within the approach, the two risk limits have **different functions** regarding the minimization obligation

### upper risk-based limit

- de facto **starting point** for risk reduction (higher risks avoided by obligatory use of RPE)
- de facto lifetime risk will be lower than 4 : 1,000 due to obligatory minimization

### lower risk-based limit

- de facto **target risk** for risk reduction
- de facto lifetime risk will be higher than 4 : 100,000 for several reasons (higher initial risk, pace of minimization, optional minimization below 1 : 100,000)



## From concept to application

### Derivation of two concentration values per carcinogen

- **risk limits:** preset and identical for all carcinogens
- for each substance its specific **exposure-risk-relationship (ERR)** has to be determined
- from the ERR both the substance-specific **acceptable concentration** and the **tolerable concentration** are derived

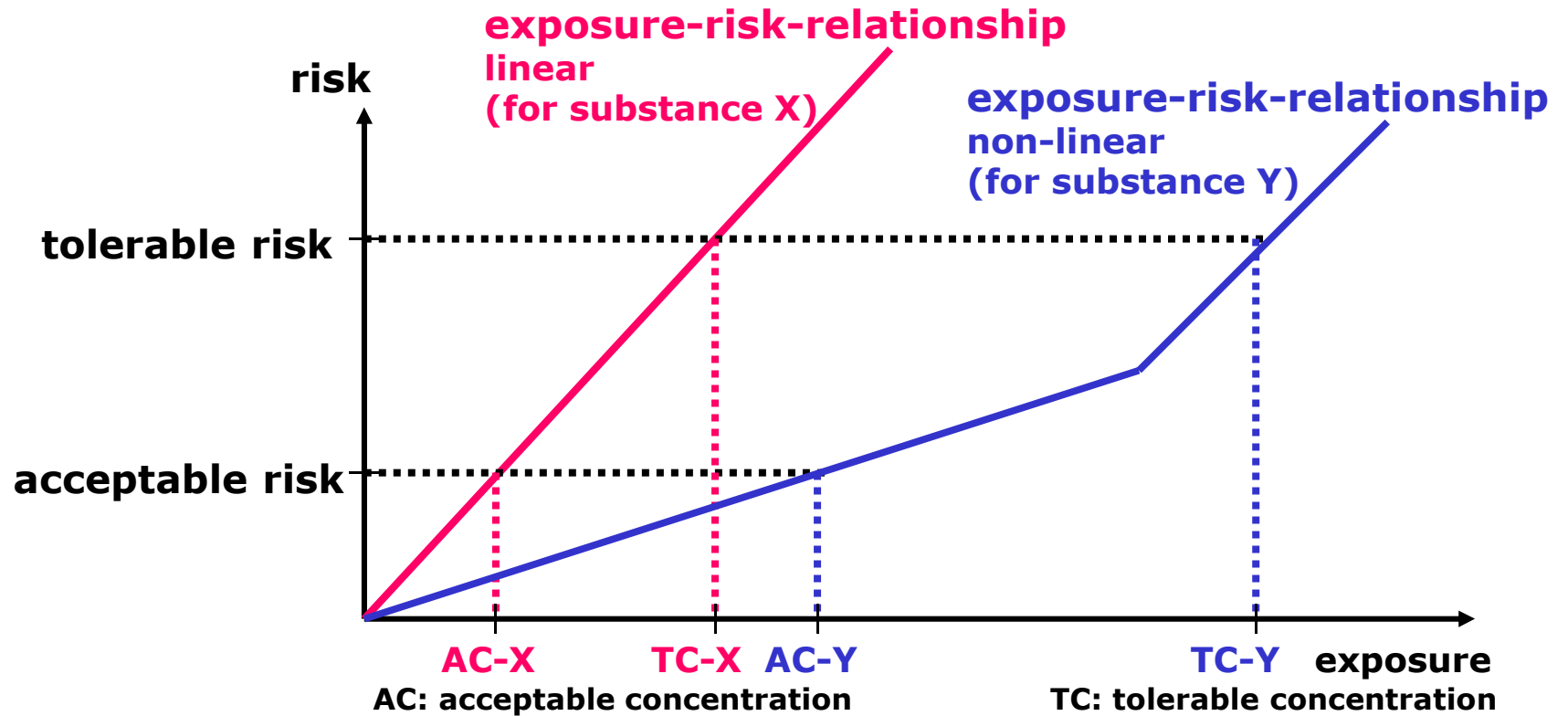
### Consideration of additional factors

### Implementation support for enterprises



# From concept to application

**Exposure-risk-relationships** – schematic view  
(for substances X and Y)



## From concept to application

### Carcinogens (to be) covered by the approach

- **Acrylamide**
- **Acrylonitrile**
- **Aluminiumsilicate fibres (ceramic fibres)**
- **Asbestos**
- **Benzo(a)pyrene**
- **1,3-Butadiene**
- **Ethylene oxide**
- **4,4'-Methylenedianiline (MDA)**
- **Nitrosamines**
- **Trichloroethene**

- **Arsenic**
- **Benzene**
- **Beryllium**
- **Cadmium**
- **Chromium (VI)**
- **Cobalt**
- **Diesel motor emissions**
- **Hydrazine**
- **Lead (possibly OEL)**
- **Nickel**
- **Quartz (possibly OEL)**
- **Antimony trioxide**
- **Bitumen**
- **Epichlorohydrine**
- **Ethylene imine**
- **Propylene oxide**



## From concept to application

### ■ **Consideration of additional factors: clarifications for substance-specific issues**

- approach for assessment of **simultaneous exposure** to several carcinogens **to be developed**
- approach for **non-malignant toxic effects** below the "tolerable concentration" with regard to the malignant effect ✓
- approach for considering the previously described state of technology (i.e. the **former technical-based limit values**) ✓
- approach for **peak exposures** and for **dose considerations** (in particular in cases of occasional exposure) ✓ / **to be developed**
- approach for **background exposure** to a carcinogen above the "acceptable concentration" ✓
- approach for **limit of detection** above the "acceptable concentration" ✓



## From concept to application

### Implementation support for enterprises

#### Technical Rules for widely used carcinogens

- adaptation of already existing Technical Rules or drafting of new ones  
(e.g. Asbestos, Benzo(a)pyrene, Ceramic fibres, Diesel motor emissions, N-Nitrosamines, Welding of stainless steel)
  - **adaptation of control measures to the set of graded control measures**
  - **integration of “acceptable” and “tolerable” concentration**

#### List of “Frequently asked questions”

- list of 25+ explanatory FAQs **to be published end of this year**

#### Guidance on consideration of “additional factors” in risk assessment

- detailed advice on inclusion of substance-specific issues (cf. previous slide) **to be available mid-2012**



## Developments (and expectations)

### ■ **Implementation of the general approach**

- early 2011: start of official test phase
- mid-2015: formal legal inclusion in Ordinance on Hazardous Substances foreseen

### ■ **Enlarging the scope**

- derivation of additional ERRs  
**ERRs currently foreseen for 35 carcinogens in total**

### ■ **Provision of detailed guidance for risk assessment**

- description on how to consider substance-specific factors in relation to both concentration values



## Developments (and expectations)

### Resulting concentration values

- for a number of carcinogens the resulting concentration values are (or will be) far below the former technical-based values; thus, their achievement is posing a major challenge

carcinogen	concentration [µg/m <sup>3</sup> ] at 4*10 <sup>-3</sup> (tolerable risk)	concentration [µg/m <sup>3</sup> ] at 4*10 <sup>-4</sup> (acceptable risk)	former technical- based limit value [µg/m <sup>3</sup> ]
Arsenic	8 ?	0,8 ?	100
Benzo(a)pyrene	0.7	0,07	2 / 5
Cadmium	1 – 2 ?	0.1 – 0.2 ?	15 / 30
Chromium (VI)	< 5 ?	< 0.5 ?	50 / 100
Hydrazine	< 25 ?	< 2.5 ?	130
Nickel	< 5 ?	< 0.5 ?	500
Trichloroethylene	60,000 (11 ppm)	33,000 (6 ppm)	270,000 (50 ppm)
Ceramic fibres	100,000 F/m <sup>3</sup>	10,000 F/m <sup>3</sup>	500,000 F/m <sup>3</sup>

## Developments (and expectations)

- Apart from “technical feasibility” at enterprise level,  
**limitations to minimization have to be envisaged for  
some carcinogens**

Potential critical parameters

- external background exposure
- measurability (limit of detection)  
i.e. actual “state of technology” for measurements and analytics

might indicate the **existence of practical limits** beyond which  
**minimization currently becomes meaningless**

- **NB: Those limits can, in principle, be lowered by a  
societal effort, yet not by an effort on the part of  
individual enterprises**